

Evaluation of Rainfall-Snowfall Separation Performance in Remote Sensing Datasets

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Key Points:

- Snowfall determination accuracy varies greatly among four remote sensing datasets ranging from 42% to 96%.
- GPM dual frequency radar detects snowfall at about 1.5 km above the ground, instead of surface snowfall.
- MERRA2 temperature close to the surface is noticeably colder than observed, leading to more rainfall pixels being classified as snowfall pixels.

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Abstract: The first key step to accurately measure global snowfall is to separate rainfall from snowfall correctly (i.e., precipitation phase discrimination). This study first evaluates the phase discrimination performance in four remote sensing datasets, including observations from ground radar, spaceborne radars, and spaceborne radiometer, relative to ground observations. Results show that the snowfall discrimination accuracy varies greatly among these datasets ranging from 42% to 96%, dependent on whether and how the temperature information are considered. For example, over half of the snowfall from the GPM spaceborne radar is actually rainfall at the surface since it detects snowfall at ~ 1.5 km above the ground without considering the temperature information close to the surface. Second, we evaluate the discrimination performance using the temperature information from four reanalysis datasets. It is found that MERRA2 temperature close to the surface is colder than the other three datasets, leading to more rainfall pixels being misclassified as snowfall pixels.

Plain Language Summary: Satellite remote sensing provides the only means of measuring rainfall/snowfall on the global scale. Misclassifying the precipitation phase (i.e., rainfall as snowfall, or vice versa) could lead to the estimated precipitation rate being one order of magnitude smaller or larger. Our results reveal that the snowfall discrimination accuracy varies greatly among four remote sensing datasets ranging from 42% to 96%. For example, over half of the snowfall from the state-of-the-art precipitation product based on the Global Precipitation Measurement radar is rainfall at the surface without considering the temperature information close to surface. Additionally, the temperature discrepancy among different reanalysis datasets also greatly affects precipitation phase discrimination. Our results show that MERRA2 temperature close to the surface is colder than the other three major datasets, leading to more

47 rainfall pixels being misclassified as snowfall pixels.

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1. Introduction

Accurate global snowfall measurement is needed for many applications including water resources management (Gergel et al., 2017), water budget evaluation (Sheffield et al., 2009), and long-term climate change monitoring (OGorman, 2014). Satellite remote sensing provides the only means for the snowfall measurement on the global scale. The CloudSat Cloud Profiling Radar (CPR) observations (Stephens et al., 2002) have been used widely to investigate global snowfall features (Liu, 2008; Kulie and Bennartz, 2009; Kulie et al., 2016; Milani et al., 2018). Snowfall characteristics have also been characterized by the dual frequency precipitation radar (DPR) on board the Global Precipitation Measurement Mission (GPM) Core Observatory Satellite (Adhikari et al., 2018; Skofronick-Jackson et al., 2019), though the GPM DPR has a limited capability to measure light snowfall events with detection sensitivity at about 12 dBZ (Hamada and Takayabu, 2016; Panegrossi et al., 2017; Skofronick-Jackson et al., 2019). In addition, passive microwave radiometers are also commonly used for global snowfall estimation (Kongoli et al., 2003; You et al., 2015; Kummerow et al., 2015; Meng et al., 2017; Kidd et al., 2016; Ebtehaj and Kummerow, 2017). Ground radar observations also provide valuable snowfall information on the regional and continental scale. For example, the Multi-Radar Multi-Sensor (MRMS) provides snowfall estimation over the continental United States at 2-minute and about 1-km resolution (Kirstetter et al., 2012; Zhang et al., 2016).

It remains extremely challenging to accurately measure falling snow from both ground and spaceborne radars (Chen et al., 2016; Skofronick-Jackson et al., 2019; Kulie et al., 2021), and microwave radiometers (Wang et al., 2013; Kummerow et al., 2015; You et al., 2017; Meng et al., 2017; Milani et al., 2021). A major obstacle is how to accurately determine the precipitation

phase (i.e., separating rainfall from snowfall). Precipitation phase misclassification (e.g., rainfall to snowfall, or vice versa) could lead to the estimated precipitation rate from spaceborne radar being one order of magnitude smaller or larger (Sims and Liu, 2015). On the other hand, there exists very limited information in the remote sensing measurements themselves for precipitation phase determination. Specifically, a bright-band in the radar profiles may indicate rainfall at the surface, while not all radar profiles have a bright-band. Furthermore, the uncontaminated spaceborne radar observations are about 1.5 km above the ground level, while the precipitation phase may change from 1.5 km to the surface. The brightness temperature (TB) observations from passive microwave radiometers do not directly indicate the precipitation phase at the surface. Very cold TB may be associated with hail events (Ferraro et al., 2015; Mroz et al., 2017). However, it is difficult to distinguish snowfall from rainfall by using TB only.

Researchers have long sought to exploit temperature-related parameters for precipitation phase discrimination. For example, early studies based on limited station observations using the surface air temperature revealed that the rainfall-snowfall transition threshold value is close to 2 °C (United States Army Corps of Engineers, 1956; Auer Jr, 1974). Later studies showed that other variables besides the surface temperature can also be used to constrain the rainfall-snowfall separation, including surface pressure (Dai, 2008; Sims and Liu, 2015), land surface elevation (Ding et al., 2014; You et al., 2016), relative humidity (Matsuo et al., 1981; Behrangi et al., 2018; Jennings et al., 2018), and temperature lapse rate (Haynes et al., 2009; Sims and Liu, 2015). The mean temperature (or thickness) from the surface to the low or mid-troposphere has also been widely used to determine the precipitation type (Wagner, 1957; Bourguoin, 2000). In addition, Jennings et al. (2018) pointed out that the snowfall-rainfall transition temperature varies

from -0.4 °C to 2.4 °C across the Northern Hemisphere, further adding complexity and difficulty for accurate precipitation phase partitioning.

The first objective of this study is to evaluate precipitation phase discrimination performance in four commonly used precipitation remote sensing datasets. They are the CloudSat CPR precipitation product (2C-PRECIP-COLUMN) (Haynes et al., 2009), GPM DPR precipitation product (2ADPR) (Hamada and Takayabu, 2016; Iguchi, 2020; Le et al., 2017), GPM Microwave Imager (GMI) precipitation product (2AGPROFGMI) (Kummerow et al., 2015), and the MRMS precipitation product in the Continental United States (CONUS) (Zhang et al., 2016). More details regarding the precipitation phase determination in these products will be provided in the following sections. In addition, several phase segregation methods exist in the literatures, and temperature related parameters often are obtained from different reanalysis datasets. Therefore, the second objective is to assess the phase discrimination accuracy by using different methods and reanalysis datasets.

2 Datasets and Methodology

2.1 Datasets

The reference (“truth”) dataset for this study is the precipitation phase code reports (i.e., rainfall or snowfall) from the NOAA National Centers for Environmental Information (NCEI)’s Integrated Surface Database (ISD), which consists of global hourly and synoptic observations from over 35,000 stations worldwide (Smith et al., 2011). This dataset has also been used to train precipitation phase discrimination schemes (Dai, 2008; Liu, 2008; Sims and Liu, 2015; You et al., 2016; Behrangi et al., 2018) and compute precipitation frequencies (Petty, 1995; Dai, 2001).

The precipitation phase information in the remote sensing datasets to be compared in this study is from CloudSat CPR precipitation product (2C-PRECIP-COLUMN, version 5) (Haynes et al., 2009), GPM DPR precipitation product (2ADPR, version 6) (Hamada and Takayabu, 2016; Le et al., 2017; Iguchi, 2020), GPM Microwave Imager (GMI) precipitation product (2AGPROFGMI, version 5) (Kummerow et al., 2015), and the MRMS precipitation (operational version) (Zhang et al., 2016).

Specifically, for CPR 2C-PRECIP-COLUMN, we obtain the “Precip_flag” variable, which groups the precipitation phase into one of three categories: rain, snow, and mixed. For DPR, we obtain the “phaseNearSurface” and “flagSurfaceSnowfall”. The first variable is determined by the temperature at the near surface level (~ 1.5 km) and the bright-band information (Iguchi, 2020). The second variable is computed from the difference between Ku-band PR (KuPR) and Ka-band PR (KaPR) at the clutter-free height (~ 1.5 km) (Le et al., 2017). For GPM Microwave Imager (GMI) retrieval from the Goddard Profiling Algorithm (GPROF), we obtain surface precipitation rate (“surfacePrecipitation”) and snowfall rate (“frozenPrecipitation”). For MRMS, we obtain the precipitation flag variable (“pcp_flag”).

To collocate the remote sensing datasets with the surface reference data, we use the threshold values of 10 minutes and 10 km for CPR, DPR, and GMI observations. That is, when remote sensing observations and the surface reference data are less than 10 minutes apart in time and less than 10 km away in distance, they are considered as coincident observations. These two threshold values (10 minutes and 10 km) are selected by considering the trade-off between the

sample size (primarily for the CPR nadir-only observations) and the accuracy of coincident observations. It is worth mentioning that the spatial resolution for CPR, DPR, and GMI precipitation products are about 1.6 km, 5.2 km, and 14.3 km, respectively. The ancillary temperature information used in these products for precipitation phase determination is often much coarser than these spatial resolutions. For MRMS, we simply find the nearest time and the closest distance with the surface data due to its high spatial (about 1 km) and temporal (2 minutes) resolutions. For the collocation period, we use the full CPR observation record from 2006 to 2017. For DPR and GMI products, we use observations from March 2014 (launch of the GPM satellite) to December 2018. For MRMS, we only use the data in 2016 with large enough sample size (> 1 million collocated samples) since the ground radars used to generate the MRMS precipitation dataset often are on the same location with the ground gauges, from where the precipitation phase reports are obtained.

As mentioned previously, the temperature information for the phase determination in remote sensing products is often obtained from model outputs. Our second objective is to evaluate the phase discrimination accuracy when the ancillary temperature information is obtained from different sources. To this end, this study compares four global reanalysis datasets, including the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA2) (Gelaro et al., 2017), the ECMWF Reanalysis 5th generation (ERA5) (Hersbach et al., 2020), the Japanese 55-year Reanalysis (JRA55) (Kobayashi et al., 2015), and the Global Forecast System (GFS) (Kanamitsu et al., 1991). The spatial resolutions are $0.5^{\circ} \times 0.625^{\circ}$ for MERRA-2, $0.25^{\circ} \times 0.25^{\circ}$ for ERA5, $1.25^{\circ} \times 1.25^{\circ}$ for JRA55, and $0.5^{\circ} \times 0.5^{\circ}$ for GFS, respectively. The temporal resolutions for the surface temperature, 2-m air temperature, and the surface pressure are hourly

for MERRA2 and ERA5, and 6-hourly for JRA55 and GFS, respectively. The temporal resolutions for the temperature profile and geopotential height profile are hourly for ERA5, 3-hourly for MERRA2, and 6-hourly for JRA55 and GFS, respectively. For all these model datasets, we match the surface weather report data with the closest grid and linearly interpolate the temperature information in the temporal dimension.

2.2 Evaluation metric

We assess the phase discrimination performance in the four remote sensing datasets using the snowfall or rainfall success percentage. We take the snowfall and the CPR as an example to show the definition of the success percentage. The snowfall success percentage is computed as the number of snowfall observations from CPR divided by the number of the snowfall observations from the reference. Similar computations are applied to rainfall success percentage and to other remote sensing datasets.

We would like to emphasize that our analysis is not a detection performance evaluation. Instead, the snowfall or rainfall success percentage is computed when both the remote sensing instruments and the surface reference dataset detect precipitation. This requirement normalizes for any differences in detection capabilities. For example, it is known that CPR has a much better detection sensitivity compared with both DPR and ground radars in MRMS datasets (Wang et al., 2018; Skofronick-Jackson et al., 2019).

3 Results

This section first uses a contingency table analysis to determine the snowfall success percentage from the four datasets. This analysis also explains why the snowfall success percentages differ greatly across these datasets. Second, we analyze the snowfall (rainfall) success percentages from six different phase determination schemes using ancillary parameters from four reanalysis datasets.

3.1 Snowfall success percentage

As mentioned previously, there are two methods used in the DPR product to determine the precipitation phase. The first method (hereafter referred to as DPR M1) separates the snowfall from rainfall using temperature information at about 1.5 km above the ground (clutter-free height), combined with bright-band information if it exists in the radar reflectivity profile. The second method uses the KuPR and KaPR radar reflectivity difference at about 1.5 km above the ground (hereafter referred to as DPR M2).

Figure 1 shows the snowfall success percentage from CPR, DPR, GPROF, and MRMS. It is immediately clear that both DPR methods show rather poor performance with snowfall success percentages of less than 50%, which means that more than half of the snowfall indicated by DPR is rainfall or mixed precipitation at the surface, which is somewhat expected since both DPR methods diagnose the precipitation phase at ~1.5 km above the ground, instead of at the surface. The temperature can increase about 9 °C from 1.5 km to the ground assuming a 6 °C temperature lapse rate. In contrast, CPR demonstrates a much larger snowfall success percentage of about 96%. Over land, CPR uses a conservative classification scheme where pixels are classified as snow if the maximum temperature in the column is less than 0 °C, rain if the maximum

temperature in the column is greater than 2 °C, and an undetermined "mixed precipitation" in all other cases. This explains why CPR has a much better snowfall success percentage, compared with DPR. The more accurate temperature information from the ECMWF analysis dataset used in the CPR precipitation phase determination may also contribute to its better performance (more details in the next section).

In the GPROF GMI retrievals, there are two precipitation rate variables (i.e., precipitation rate and snowfall rate). First, we select snowfall rates greater than 0 to compute the snowfall success percentage (hereafter referred to as GPROF M1). Second, we select pixels with a snowfall rate greater than 0 and the difference between snowfall rate and precipitation rate is less than 0.01 mm/hr (hereafter referred to as GPROF M2). Figure 1 shows that GPROF M2 has a much higher snow success percentage of 96% than that from GPROF M1 of 81%. The much larger success percentage from GPROF M2 is directly determined by how GPROF calculates the snowfall rates. Specifically, GPROF produces the snowfall rates by multiplying the precipitation rates with the snowfall probability determined by the 2-m wet bulb temperature (Sims and Liu, 2015). In the GPROF M2, we intentionally make these two variables close (i.e., the difference between snowfall rate and precipitation rate less than 0.01 mm/hr). As expected, choosing different threshold values (e.g., 0.1 or 0.001 mm/hr) will affect the snowfall success percentage values. In fact, the snowfall success percentage is 99% (90%) with the difference being 0.001 mm/hr (0.1 mm/hr). It is worth mentioning that evaluating the snowfall success percentage from GPROF is actually equivalent to evaluating the snowfall probability computed from 2-m wet bulb temperature based on Sims and Liu (2015).

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234 For MRMS, the snowfall success percentage is 84%, while the vast majority of the other 16% of
235 data (i.e., surface indicates snowfall while MRMS judges them as rainfall) is misclassified as
236 “cold stratiform” rainfall. Using independent precipitation type reports from the citizen-
237 scientists, Chen et al., (2018) also noticed that MRMS tends to misidentify snowfall as rainfall.
238 The authors pointed out that the temperature threshold values or the uncertainties in the model-
239 output temperature information may be responsible for the misidentification. Further analysis
240 shows that the temperature information used in MRMS agrees very well with ground
241 observation. Therefore, we conclude that the most likely reason for the misclassification (i.e.,
242 snowfall as rainfall) in MRMS is caused by the “colder” threshold values. Finally, it is worth
243 mentioning that the rainfall success percentages from all four datasets are greater than 94% with
244 no clear differences.

245

246 **3.2 Phase discrimination based on different methods and different reanalysis datasets**

247 In this section, we compute the snowfall and rainfall success percentages, using six phase
248 discrimination methods and four global reanalysis datasets. The first 5 approaches are from Sims
249 and Liu (2015), which uses 2-m air temperature (T_{2m}), 2-m wet bulb temperature (T_w), 2-m wet
250 bulb temperature (T_w) & surface temperature (T_s), 2-m wet bulb temperature (T_w) &
251 temperature lapse rate (Γ), and 2-m wet bulb temperature (T_w) & temperature lapse rate (Γ) &
252 surface temperature (T_s), respectively. It worth mentioning that the GPROF precipitation
253 product utilizes the second method (i.e., T_w) for the phase discrimination. These five methods, in
254 order, are referred to as M1, M2, ..., M5. The sixth method (referred to as M6) is based on
255 Haynes et al. (2009), which is the phase segregation method for CloudSat precipitation products.

As mentioned previously, CPR judged a pixel as the snowfall pixel over land when the maximum temperature in the temperature profile less than 0° C (i.e., all temperature values are less than 0° C in the profile). We do not include the MRMS phase discrimination method since its threshold values are based on the temperature information from a regional model output. Additionally, we only utilize the ground weather reports in 2016 since there are about 2.2 million precipitating reports in total.

Table 1 shows the snowfall success percentage from these six different methods and four different datasets. The results show that using 2-m wet-bulb temperature (M2) generates slightly better phase discrimination performance than that using 2-m temperature (M1), regardless of the reanalysis datasets. This is because that the wet-bulb temperature is closer to the hydrometeors' temperature than the temperature itself since it combines the temperature and moisture information (Sims and Liu, 2015). More importantly, we do not notice additional discriminant capability being added when including more variables (e.g., lapse rate and surface temperature), by comparing M2 with M3 to M5. Further, the snowfall success percentage from M6 is slightly lower than those from M1 to M5, regardless of the reanalysis datasets. For example, the success percentage from M6 is 93% using ERA5 (Table 1, 7th row and 5th column), while it is 95% from M1 (Table 1, 2nd row and 5th column). The reason why M6 generates slightly lower success percentage is because M6 requires the maximum temperature in the profile being less than 0 °C, which is colder than the temperature threshold values used from M1 to M5 (e. g., 2-m air temperature being 1.6 °C in M1). Consequently, a small percentage of observed snowfall is misclassified as mixed precipitation.

Table 2 shows that the rainfall success percentage based on MERRA2 is smaller than those from other reanalysis datasets for M1 to M5, because MERRA2's temperature profile from about 200m to the surface is noticeably colder than those from the other three datasets, shown in Fig. 2a. The colder temperature from MERRA2 is further corroborated from the 2-m air temperature plot in Fig. 2b, which demonstrates the 2-m air temperature difference between the reference and those from each reanalysis datasets. Clearly, 2-m air temperature from MERRA2 is about 1 °C colder than those from other datasets. Basically, the colder MERRA2 temperature misidentifies the rainfall pixels as the snowfall pixels. The colder surface temperature from MERRA2 is also reported by Draper et al., 2018.

Rainfall success percentage from M6 is about 92% using all four model outputs (Table 2). This number (92%) is about 4% higher than those from M1 to M5 when using MERRA2, which is because M6 requires the maximum temperature (Tmax) in the temperature profile greater than 2 °C. Even though MERRA2 is noticeably colder than observed, M6 achieves a slightly better success percentage by using a slightly higher temperature threshold value than those from M1 to M5 (e. g., 2-m air temperature being 1.6 °C in M1). In contrast, M6 generates a slightly lower success percentage comparing with M1 to M5 when using the other model outputs, which again can be attributed to the 2 °C threshold value used in M6, which aims to exclude purely snowing scenes while allowing a larger unknown "mixed" category. Basically, M6 classifies a small percentage of rainfall as "mixed precipitation".

4. Conclusions

This study evaluated the precipitation phase discrimination performance in four widely used

remote-sensing precipitation datasets using global ground precipitation phase reports. It is found that more than half of the snowfall indicated by GPM DPR is actually rainfall on the ground because the phase determined by DPR is at about 1.5 km above the ground level. In other words, more than half of the snowfall from DPR at the 1.5 km above the ground level melts to rain drops at the surface level. In contrast, CloudSat CPR shows a much better snowfall classification primarily because it considers the temperature profile information. In addition, the snowfall rate in GPM GMI precipitation product is computed as the product of the snowfall probability and the precipitation rate. Therefore, the snowfall discrimination accuracy is determined by the snowfall probability accuracy calculated by Sims and Liu's method from the 2-m wet bulb temperature (Sims and Liu, 2015). Further, MRMS misclassifies some snowfall as cold stratiform rainfall. An analysis of four different reanalysis datasets shows that the MERRA2 temperature profile is noticeably colder close to the surface than those from the other three global reanalysis datasets, which results in the rainfall being misclassified as snowfall. Finally, it is found that using the 2-m wet-bulb temperature is adequate for snowfall determination regardless of which reanalysis datasets is chosen.

The comparison analysis reveals that different remote sensing datasets use very different approaches for precipitation phase determination, leading to significantly different accuracy performance. Understanding this feature is critically important for correctly using these datasets and future satellite precipitation dataset evaluations. This study also highlights the challenges and obstacles in precipitation phase discrimination, an issue that must be addressed to generate more accurate global snowfall climatology.

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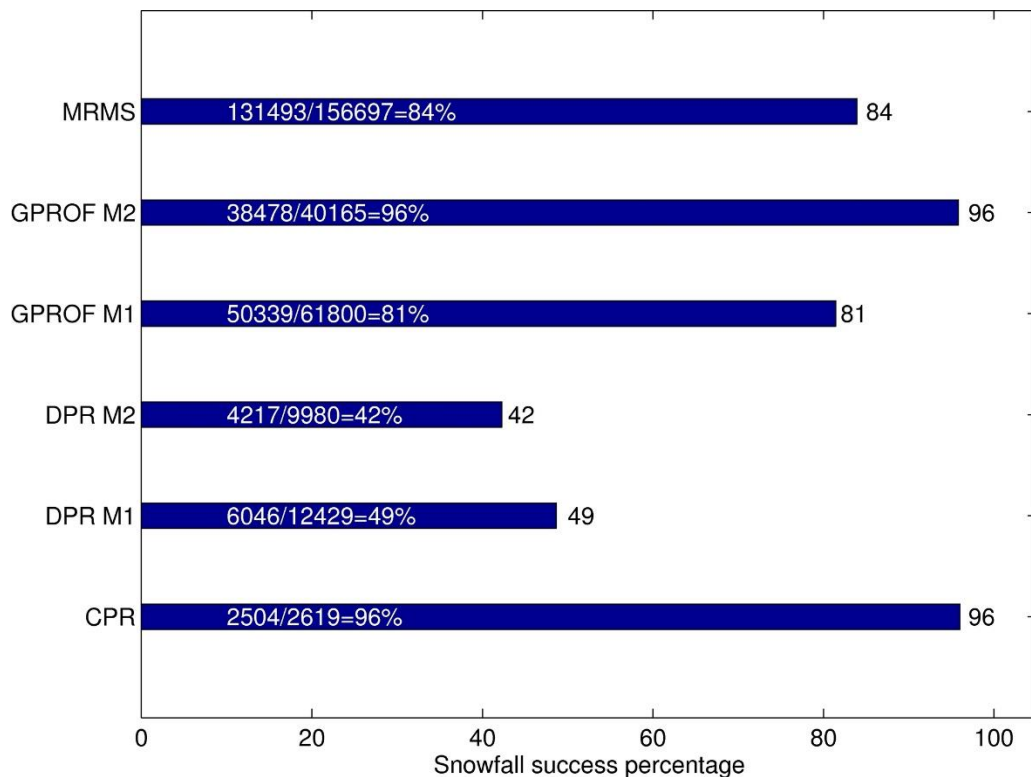
Table 1. Snowfall success percentage (%) from six methods (M1 to M6) and four reanalysis datasets (MERRA2, JRA55, ERA5, and GFS). The first 5 approaches are from Sims and Liu (2015), which use 2-m air temperature (T2m), 2-m wet bulb temperature (Tw), 2-m wet bulb temperature (Tw) & surface temperature (Ts), 2-m wet bulb temperature (Tw) & temperature lapse rate (Γ), and 2-m wet bulb temperature (Tw) & temperature lapse rate (Γ) & surface temperature (Ts), respectively. The sixth approach is from Haynes et al. (2009), which requires the maximum temperature (Tmax) in the temperature profile being less than 0 °C.

Method	Variables	MERRA2	JRA55	ERA5	GFS
M1	T2m	94	93	95	95
M2	Tw	97	95	96	96
M3	Tw, Ts	97	94	96	95
M4	Tw, Γ	97	95	96	96
M5	Tw, Γ , Ts	97	95	96	96
M6	Tmax	91	90	93	91

Table 2. Rainfall success percentage (%) from six methods (M1 to M6) and four reanalysis datasets (MERRA2, JRA55, ERA5, and GFS). The first 5 approaches are from Sims and Liu (2015), which use 2m air temperature (T2m), 2-m wet bulb temperature (Tw), 2-m wet bulb temperature (Tw) & surface temperature (Ts), 2-m wet bulb temperature (Tw) & temperature lapse rate (Γ), and 2-m wet bulb temperature (Tw) & temperature lapse rate (Γ) & surface temperature (Ts), respectively. The sixth approach is from Haynes et al. (2009), which requires the maximum temperature (Tmax) in the temperature profile greater than 2 °C.

Method	Variables	MERRA2	JRA55	ERA5	GFS
M1	T2m	89	95	96	96
M2	Tw	88	94	96	96
M3	Tw, Ts	88	95	97	96
M4	Tw, Γ	88	96	97	97
M5	Tw, Γ , Ts	88	97	97	97
M6	Tmax	92	91	93	92

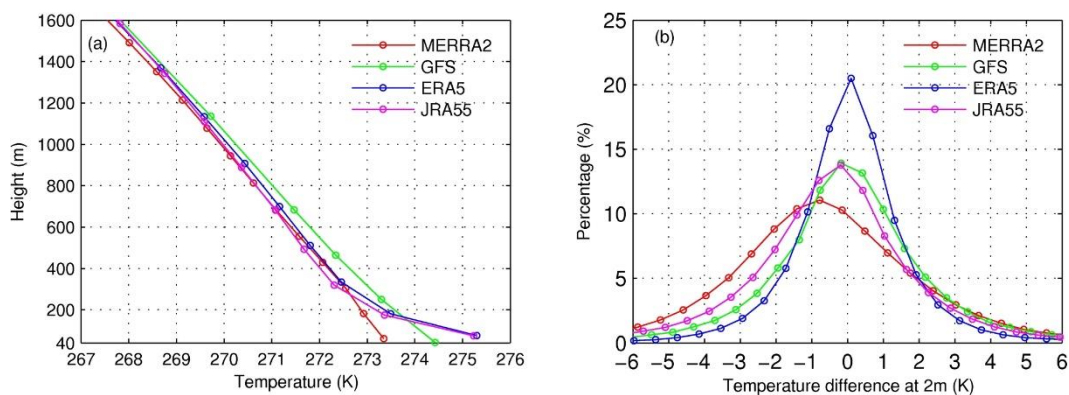
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502 Figure 1. The snowfall success percentage from four precipitation remote sensing datasets,
503 including CloudSat Cloud Profiling Radar (CPR), GPM Dual Frequency Precipitation Radar
504 (DPR), GPM GMI precipitation retrieval results from the Goddard Profiling Algorithm (GPROF)
505 algorithm, and the Multi-Radar Multi-Sensor (MRMS). The denominator on each bar is the
506 snowfall number from the ground weather report, while the numerator is the number from each
507 remote sensing dataset. DPR M1 and DPR M2 represent two methods used in DPR for the
508 precipitation phase discrimination. GPROF M1 and DPR M2 represent two methods used in
509 GPROF retrieval for the precipitation phase discrimination (see corresponding text for more
510 details). MRMS data are only available over the Continental United States (CONUS).

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513 Figure 2. (a) Temperature profiles below 1600 meters from four reanalysis datasets, including
 514 MERRA2, GFS, ERA5, and JRA55. (b) The histograms of the 2-m air temperature differences
 515 between surface observations and each reanalysis dataset. All data are from January 2016 to
 516 December 2016.